10/553464 JC09 Rec'd PCT/PTO 17 OCT 2005

JMYS-134US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To Be Assigned

Applicant:

Beatriz Dominguez

Filed:

Herewith

Title:

A PROCESS FOR THE PRODUCTION OF A HYDROXYALKYNE BY COUPLING

REACTION BETWEEN ACETALDEHYDE AND A TERMINAL ALKYNE

TC/A.U.:

Examiner:

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08A and PTO/SB/08B submitted herewith. A copy of the references listed on the PTO/SB/08A and PTO/SB/08B, other than U.S. patents, is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

JMYS-134US

This Information Disclosure Statement is being filed concurrently with the abovereferenced application.

Respectfully submitted,

Christopher R. Lewis, Reg. No. 36,201 Christian M. Bauer, Reg. No. 51,443

Attorneys for Applicant

CRL/Irb

Enclosures: PTO Form 1449

Copy of ten (10) references

Dated: October 17, 2005

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

EXPRESS MAIL

Mailing Label Number:

EV 497723455 US

Date of Deposit:

October 17, 2005

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathleen Lyb

LRB_I:\JMYS\134US\IDS-001.DOC

PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Hadaatha Danamed Daduction Act of 1005, no non

Substitute for Form 1449A/PTO		JCUSHGO OFFICE 17 OCT 2809
	Application Number	To Be Assigned
INFORMATION DISCLOSURE	Filing Date	Herewith
STATEMENT BY APPLICANT	First Named Inventor	Beatriz Dominguez
(Use as many sheets as necessary)	Art Unit	
	Examiner Name	
SHEET 1 of 2	Attorney Docket No.	JMYS-134US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (If known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
1111013	1	US-5,110,996	05-05-1992	Evans et al.	- I Igures i ippear
	╁╌	US-	00 00 0000		
	-	US-			
		US-			
	<u> </u>	US-			
	<u> </u>	US-			
		US-			
	<u> </u>	US-			
	ļ	US-			
		US-			
	<u> </u>	US-			
	<u> </u>	US-			
		US-			
		US-			
	1	US-			

FOREIGN PATENT DOCUMENTS						
F	C'h-	Foreign Patent Document	Dublication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initiais*	Cite No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date (MM-DD-YYYY) Applicant of Cited Document	Passages or Relevant Figures Appear	Т6	
	2	WO-02/094741-A1	11-28-2002	Imperial Chemical Industries PLC		

Examiner		Date	
Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

3 See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

3 Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

^{*}Anplicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

0/553464 17 OCT 2005, PTO/SB/08B (07-05)

. Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Index the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

	Substitute for Form 1449B/PTO	Complete if Known		
		Application Number .	To Be Assigned	
	INFORMATION DISCLOSURE	Filing Date	Herewith	
	STATEMENT BY APPLICANT (Use as many sheets as necessary)	First Named Inventor	Beatriz Dominguez	
		Art Unit		1
		Examiner Name		
	SHEET 2 of 2	Attorney Docket No.	JMYS-134US	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Cite Initials* No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publish country where published			T ²
	3	KUO-MING WU et al., "Structural Effects on [1,5]-Sigmatropic Hydrogen Shifts of Vinylallenes," <i>J. Org. Chem.</i> , Vol. 55, No. 14, 1990, pp. 4381-4392.	
	4	JORDI BACH et al, "Stereoselective Reduction of Unsaturated 1,4 Diketones. A Practical Route to Chiral 1,4-Diols," <i>Tetrahedron Letters</i> , Vol. 38, No. 6, 1997, pp. 1091-1094.	
	5	MARK J. BURK et al., "Highly Enantioselective Hydrogenation of β-Keto Esters under Mild Conditions," <i>J. Amer. Cehm. Soc.</i> , Vol. 117, No. 15, 1995, pp. 4423 and 4424.	
	6	D. BOYALL et al., "Enantioselective Addition of 2-Methyl-3-butyn-2-ol to Aldehydes: Preparation of 3-Hydroxy-1-butynes," <i>Organic Letters</i> , Vo. 2, No. 26, 2000, pp. 4233-4236.	
	7 DOUG E. FRANTZ et al., "Facile Enantioselective Synthesis of Propargylic Alcohols by Direct Addit of Terminal Alkynes to Aldehydes," J. Am. Chem. Soc., 2000, Vol. 122, pp. 1806-1807.		
	8 Abstract No. XP-00289664, Beilstein Institute for Organic Chemistry, ©1988-2001.		
	9 Abstract No. XP-002289665, Beilstein Institute for Organic Chemistry, ©1988-2001.		
	10	Abstract No. XP-002289666, Beilstein Institute for Organic Chemistry, ©1988-2001.	
	11	Abstract No. XP-002289667, Beilstein Institute for Organic Chemistry, ©1988-2001.	
L			1
Examiner Signature		Date Considered	

	· 		
*FYAMINER	Initial if reference considered, whether or not citation is in conformance with MPEP 609.	Draw line through citation if r	ot in conformance and not
CAST IZITE	tribular in reference considered, whether or not obtained in the containing with the constant	. Draw mic anough acodon in i	iot in comoniname and me
concidered	Include copy of this form with next communication to Applicant.		
considered.	include copy of this form with next communication to Applicant.		

¹Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.